



PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/652,841

Confirmation No. : 4006

Applicant: Vishnu K. Agarwal

Attorney Docket No.: 501082.03

Filed Art Unit

: August 31, 2000 : 2815

Customer No.

: 27,076

Examiner: Jose R. Diaz

Title

: DEVICE AND METHOD FOR PROTECTING AGAINST OXIDATION OF A

CONDUCTIVE LAYER IN SAID DEVICE

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicant wishes to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449 (copies of the cited references, as required under 37 C.F.R. § 1.98, are enclosed). Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information he is aware of which is believed relevant to the examination of the above-identified application, applicant believes that his invention is patentable.

I hereby certify that no item set forth on the attached form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge, after making reasonable inquiry, was known to any individual designated in 37

07/28/2004 RMEBRAHT 00000018 09652841

App. No. 09/652,841

C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

A fee of \$180 is submitted in accordance with 37 C.F.R. § 1.97(d). The Commissioner is authorized to charge any other fees which may be required, or credit any overpayment to Deposit Account No. 50-1266. A duplicate copy of this sheet is enclosed.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,

DORSEY & WHITNEY LLP

Maron Simor

Marcus Simon

Registration No. 50,258

MS:clr

Enclosures:

Postcard

Check

Fee Transmittal (+ copy)

Copy of Information Disclosure Statement

Form PTO-1449

Cited References (3)

1420 Fifth Avenue, Suite 3400 Seattle, WA 98101 Telephone (206) 903-8800 Facsimile (206) 903-8820

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FORM PTO-1449 U.S. DEPARTMENT OF COMMER (REV.7-80) PATENT AND TRADEMARK OFF			ATTY. DOCKET NO. 501082.03			APPLICATION NO. 09/652,841				
INFORMATION DISCLOSURE STATEMENT 'C					APPLICANT(S) Vishnu K. Agarwal					
(Use several sheets if necessary)				FILING DATE August 31, 2000			GROUP ART UNIT 2815			
	_		DATE	PASENT I	DOCUMENTS		<u>'</u>			
*EXAMINER		DOCUMENT NUMBER	DATE	EM	NAME	CLA	ASS	SUBCLASS		DATE
INITIAL		5,089,441	02/18/92	Moslehi	437		225	IF APPR	OPRIATE_	
	AA AB	5,753,559	05/19/98	Yew et al.		438		398		
	AC	6,194,263 B1	02/27/01	Kim et al.	· · · · · · · · · · · · · · · · · · ·	438		255		
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EXAMINE		<u> </u>			DATE CONSIDERED)				
* EXAMINE					formance with MPEP 609. Dra		ough ci	tation if not in		
	(conformance and not consi	dered. Include co	py of this form w	rith next communication to appl	ıcant(s).			.=	

·	Complete if Known					
	Application No.	09/652,841				
FER TO ANOMITTAL OUEFT	Filing Date	August 31, 2000	•			
「神経 TRANSMITTAL SHEET 学行のR FY 2004)	First Named Inventor	Vishnu K. Agarwal				
[/ &\	Group Art Unit	2815				
JUL 2 6 2004	Examiner	Jose R. Diaz				
A THE THE PARTY OF	Atty. Docket Number	501082.03				
THAUEMETHOD OF PAYMENT (Check One)	FEE CALCULATION (Continued)					
The Commissioner is hereby authorized to charge any	3. ADDITIONAL FEES					
additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account	Large Entity Small E	Entity Tee				
No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP	Code (\$) Code	(\$) Fee Description	Fee paid			
2. [X] Check Enclosed	1051 130 2051	65 Surcharge - Late filing fee or oath	\$			
	1052 50 2052	Surcharge - late provisional filing fee or cover sheet	\$			
FEE CALCULATION	1053 130 1053	130 Non-English specification	\$			
1. BASIC FILING FEE	1812 2,520 1812	2,520 For Filing a Request for ex parte Reexamination	\$			
Large Entity Small Entity Fee Fee Fee Fee Fee Fee Fee Fee Fee Fe	1804 920 1804	920 Requesting publication of SIR prior to Examiner action	\$			
Code (\$) Code (\$) Fee Description	1805 1,840 1805	1,840 Requesting publication of SIR after Examiner action	\$			
1001 770 2001 385 [] Utility Filing Fee	1251 110 2251	55 Extension for reply within first month	\$			
1002 340 2002 170 [] Design Filing Fee	1252 420 2252	210 Extension for reply within 2 nd month	\$			
1003 530 2003 265 [] Plant Filing Fee	1253 950 2253	475 Extension for reply within 3 rd month	\$			
1004 770 2004 385 [] Reissue Filing Fee	1254 1,480 2254	740 Extension for reply within 4 th month	\$			
1005 160 2005 80 [] Provisional Filing Fee	1255 2,010 2255	1,005 Extension for reply within 5 th month	\$			
Subtotal (1) \$ <u>0</u>	1401 330 2401	165 Notice of Appeal	\$			
2. EXTRA CLAIM FEES	1402 330 2402	165 Filing a brief in support of an appeal	\$			
Current Claims Prior Extra Fee Fee Paid	1403 290 2403	145 Request for oral hearing	\$			
Total - 20 = x \$ = \$ 0	1814 110 2814	55 Terminal Disclaimer Fee	\$			
Ind 3 = x \$ = \$0	1452 110 2452	55 Petition to revive – unavoidable	\$			
Multiple Dependent Claims x \$ = \$	1453 1,330 2453	665 Petition to revive – unintentional	\$			
Subtotal (2) <u>\$_0</u>	1501 1,330 2501	665 Utility/Reissue issue fee	\$			
Large Fatite Carell Fatite	1502 480 2502	240 Design issue fee	\$			
Large Entity Small Entity Fee	1503 640 2503	320 Plant issue fee	\$			
Code (\$) Code (\$)	1460 130 1460	130 Petitions to the Commissioner	\$			
1202	1807 50 1807	50 Processing fee under 37 CFR 1.17(q)	\$			
1201 86 2201 43 Independent claims in excess of 3	1806 180 1806	180 Submission of IDS	\$ 180			
1204 86 2204 43 Reissue independent claims over	8021 40 8021	Recording each patent assignment per property (times number of	\$			
original patent 1205 18 2205 9 reissue claims in excess of 20 and over original patent	1801 770 2801	properties) Request for Continued Examination	\$			
over original paterit	Other fee (specify)	(RCE)	\$			
		Subtotal (3)	\$ 180			
		Total Amount of Payment:	\$ 180			
Submitted by:						

Telephone: (206) 903-8800 Name: Marcus Simon Reg. No.: 50,258

Marcus Simon

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	Complete if Known						
and the first	Applica	Application No.		09/652,841			
	Filing Date			August 31, 2000			
FEE TRANSMITTAL SHEET	First Named Inventor			Vishnu K. Agarwal			
(FOR FY 2004)	Group Art Unit			2815			
(JUL 2 6 2004 &	 				. Dioz		
					Jose R. Diaz		
Paneub	Atty. Docket Number 501082.03						
METHOD OF PAYMENT (Check One ADEMA)				EE CALC	ULATION (Continued)		
1. [X] The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and	3. ADDI			Entity			
1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP	Fee:	Fee	Fee	Fee	5 5 1 11	Fee paid	
No.: 30-1200; Deposit Account Name. DOKSET & WHITNET LLP	Code 1051	(\$) 130	Code 2051	(\$) 65	Fee Description Surcharge - Late filing fee or oath	\$	
2. [X] Check Enclosed	1052	50	2052*	25	Surcharge - late provisional filing fee	\$	
FEE CALCULATION	1053	130	1053	130	or cover sheet Non-English specification	\$	
1. BASIC FILING FEE	1812	2,520	1812	2,520	For Filing a Request for ex parte	\$	
Large Entity Small Entity	1804	920	1804	920	Reexamination Requesting publication of SIR prior	\$	
Fee Fee Fee Fee Fee Description	1805	1,840	1805	1,840	to Examiner action Requesting publication of SIR after	\$	
1001 770 2001 385 [] Utility Filing Fee	1251	110	2251	55	Examiner action Extension for reply within first month	\$	
1002 340 2002 170 Design Filing Fee	1252	420	2252	210	Extension for reply within 2 nd month	- \$	
1003 530 2003 265 Negative Plant Filing Fee	1253	950	2253	475	Extension for reply within 3 rd month	,\$	
1004 770 2004 385 [] Reissue Filing Fee	1254	1,480	2254	740	Extension for reply within 4 th month	\$	
1005 160 2005 80] Provisional Filing Fee	1255	2,010	2255.	1,005	Extension for reply within 5 th month	\$	
Subtotal (1) \$ <u>0</u>	1401	330	2401	165	Notice of Appeal	\$	
2. EXTRA CLAIM FEES	1402	330	2402	165	Filing a brief in support of an appeal	\$	
Current Claims Prior Extra Fee Fee Paid	1403	290	2403	145	Request for oral hearing	\$	
Total - 20 = x \$ = \$0	1814	110	2814	55	Terminal Disclaimer Fee	\$	
Ind 3 = x \$ = \$0	1452	110	2452	55	Petition to revive – unavoidable	\$	
Multiple Dependent Claims x \$ = \$	1453	1,330	2453	665	Petition to revive – unintentional	\$	
Subtotal (2) \$0	1501	1,330	2501	665	Utility/Reissue issue fee	\$	
Large Entity Small Entity	1502	480	2502	240	Design issue fee	\$	
Fee	1503	640	.2503	320	Plant issue fee	\$	
Code (\$) Code (\$) 18 2202 9 Claims in excess of 20	1460	130	1460	130	Petitions to the Commissioner	\$	
1201 86 2201 43 Independent claims in excess of 3	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	\$	
1203 290 2203 145 Multiple dependent Claim	1806	180	1806	180	Submission of IDS	\$ 180	
1204 86 2204 43 Reissue independent claims over	8021	40	8021	40	Recording each patent assignment per property (times number of	\$	
1205 18 2205 9 Reissue claims in excess of 20 and	1801	770	2801	385	properties) Request for Continued Examination	\$	
over original patent	Other fe	e (spec	enizhav	2.5	(RCE)	\$	
·			,,		Subtotal (3)	\$ 180	
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Submitted by:					otal Amount of Payment:	\$ 180	
Name: Marcus Simon Reg. No.: 50,258	·	Telephone: (206) 903-8800					
Signature: Manus Armon							
Signature: ////////Signature: ////////////////////////////////////	n ids 07200.	4 doc	[Date: Uf	uly 20, 2004		
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